



Docket No.: 4939P001

266 #4
9-06-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

DENNIS KWAN

Application No.: 09/851,734

Filed: May 8, 2001

For: **SOFTWARE MODEM ARCHITECTURE**

Art Group:

Examiner:

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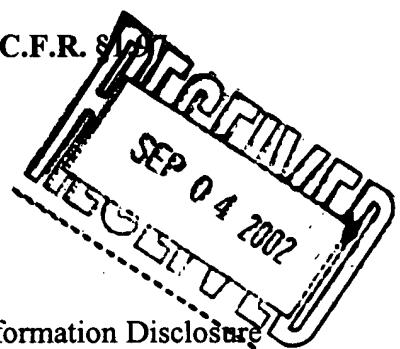
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INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.9

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s). Copies of the references cited on PTO/SB/08 are enclosed herewith.



The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

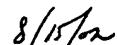
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: 8/16/02


Tarek N. Fahmi, Reg. No. 41,402

12400 Wilshire Blvd., 7th Floor
Los Angeles, California 90025
(408) 947-8200


Monique M. Pearson


Date

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Substitute for form 1449A/PTO				<i>Complete if Known</i>	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Application Number	09/851,734
				Filing Date	May 8, 2001
				First Named Inventor	Dennis Kwan
				Group Art Unit	
				Examiner Name	
				Attorney Docket Number	4939P001
Sheet	1	of	2		

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FOREIGN PATENT DOCUMENTS

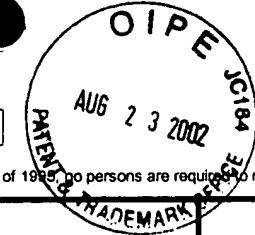
Examiner Signature		Date Considered	
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¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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